

Practitioner's Docket No. 5699-11-CON



T.D

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Forbes, James W.

Application No.: 10/081.120

Group No.: 3617

Filed: February 22, 2002

Examiner: Not Assigned

For: Rail Road Car with Cantilevered Articulation

Box Missing Part

Assistant Commissioner for Patents

Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed March 13, 2002.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. A copy of the declaration filed with the parent case for this application is enclosed herewith.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

■ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

■ with sufficient postage as first class mail.

Has "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

Facsimile transmitted to the Patent and Trademark Office, (703) _____

Date: May 13, 2002

Signature

Michelle E. Hujar

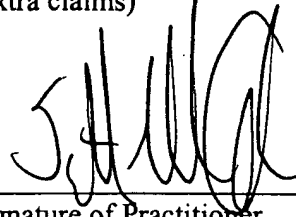
(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 15-0450.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)
- 37 C.F.R. Section 1.16(I) (Surcharge Fee)



Signature of Practitioner

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COMPLETION FEES

III.

1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$740.00
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2. Fee for Claims

Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$648.00
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Total Completion Fees	\$1,388.00
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EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$1,388.00
Extension fee (if any)	\$0.00
Total Fee Due	\$1,388.00

PAYMENT OF FEES

VI. Attached is a check in the amount of \$1,388.00.

A duplicate of this paper is attached.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,120	02/22/2002	James W. Forbes	5699-11

021324
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1225 W. MARKET STREET
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CONFIRMATION NO. 9348

FORMALITIES LETTER



OC000000007630146

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 03/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/06/2002 SMINASSI 00000076 150450 10081120

FILED UNDER 37 CFR 1.53(b)

01 FC:101		740.00 OP
02 FC:103		648.00 OP
03 FC:102	252.00 CH	
04 FC:105	130.00 CH	

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$900.
 - \$648 for 36 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1770.**

*A copy of this notice **MUST** be returned with the reply.*

JA

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE